

# On Complex Analytic Mappings into Compact Riemann Surfaces

## 閉リーマン面への解析写像について

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**Abstract.** We consider the complex analytic mappings of the Riemann surface  $\hat{C}-E$  into the compact Riemann surface  $S$  of genus  $g \geq 2$ , where  $\hat{C}$  is the extended complex plane and  $E$  is a totally disconnected compact set in the complex plane. We show that there exists no non-constant complex analytic mapping of  $\hat{C}-E$  into  $S$  under some condition not depending on the logarithmic capacity of  $E$ .

**1.** Let  $E$  be a totally disconnected compact set in the complex  $z$ -plane  $C$  and let  $R$  be the complimentary domain  $\hat{C}-E$  with respect to the extended complex plane  $\hat{C}$ . We consider the complex analytic mappings of  $R$  into  $S$  a compact Riemann surface of genus  $g \geq 2$ . According to Tsuji[10], if the logarithmic capacity of  $E$  is equal to 0, there exists no unramified complex analytic mapping of  $R$  into  $S$ . Further, according to Nishino[7] and Suzuki[8], if the logarithmic capacity of  $E$  is equal to 0, there exists no non-constant complex analytic mapping of  $R$  into  $S$ . In this paper, we shall show that, if  $E$  satisfies some appropriate condition, which is not depending on the logarithmic capacity of  $E$ , there exists no non-constant complex analytic mapping of  $R$  into  $S$ . The method used here is the one given by Carleson[1] and Matsumoto[5].

**2.** Let  $E, R$  and  $S$  be as in **1**. Let  $\{R_n\}$  ( $n = 0, 1, 2, \dots$ ) be an exhaustion of  $R$  with an additional condition such that each component  $R_{n,k}$  ( $k = 1, 2, \dots, k_n$ ) of  $R_n - \bar{R}_{n-1}$  is doubly connected and branches off into at most  $\rho$  ( $\rho \geq 1$ ) components of  $R_{n+1} - \bar{R}_n$ . We denote by  $\mu_{n,k}$  the harmonic modulus of  $R_{n,k}$  and set  $\mu_n = \min_{k=1, \dots, k_n} \mu_{n,k}$ . In these settings, we can state our theorem as follows.

**Theorem.** If  $\lim_{n \rightarrow \infty} \mu_n = \infty$ , then there exists no non-constant analytic mapping of  $R$  into  $S$ .

For the proof, the following lemma is essential.

**Lemma.** Let  $f(z)$  be a complex analytic mapping of  $G = \{1 < |z| < e^\mu\}$  into  $S$ . Then, the length  $L$  of the image  $f(|z| = e^{\frac{\mu}{2}})$  with respect to the hyperbolic metric on  $S$  is dominated by  $\frac{2\pi^2}{\mu}$ .

*Proof.* Let  $d\sigma_G$  and  $d\sigma_S$  be the hyperbolic metrics on  $G$  and  $S$  induced by the Poincaré metric  $\frac{2}{1-|\zeta|^2} |d\zeta|$  on the unit disk  $|\zeta| < 1$  respectively. Then, we have

$$d\sigma_G = \frac{\pi}{\mu|z| \sin(\frac{\pi}{\mu} \log|z|)} |dz|.$$

According to the decreasing principle of the hyperbolic metric, we have  $f^*d\sigma_S \leq d\sigma_G$ , where  $f^*d\sigma_S$  is the induced metric of  $d\sigma_S$  by  $f(z)$ . Therefore, we have

$$L \leq \int_{|z|=e^{\frac{\mu}{2}}} \frac{\pi}{\mu|z| \sin(\frac{\pi}{\mu} \log|z|)} |dz| = \int_0^{2\pi} \frac{\pi}{\mu e^{\frac{\mu}{2}} \sin(\frac{\pi}{\mu} \log e^{\frac{\mu}{2}})} e^{\frac{\mu}{2}} d\theta = \frac{2\pi^2}{\mu}.$$

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*Proof of the theorem.* Let  $\{R_n\}$  be an exhaustion of  $R$ . We may prove the theorem, without loss of generality, under the assumption that  $R_0$  is simply connected and each component  $R_{n,k}$  ( $k = 1, \dots, 2^n$ ) branches off into two components  $R_{n+1,2k-1}$  and  $R_{n+1,2k}$ . Now, let  $f(z)$  be a complex analytic mapping of  $R$  into  $S$ . According to the above lemma, as  $R_{n,k}$  is conformally equivalent to the annulus  $G = \{1 < |\zeta| < e^{\mu_{n,k}}\}$ , there exists a simple closed curve  $\Gamma_{n,k}$  in  $R_{n,k}$  corresponding to the curve  $|\zeta| = e^{\frac{\mu_{n,k}}{2}}$  such that the hyperbolic length  $L_{n,k}$  of the image  $f(\Gamma_{n,k})$  is dominated by  $\frac{2\pi^2}{\mu_{n,k}}$ .

We denote by  $\Delta_{n,k}$  the triply connected domain bounded by  $\Gamma_{n,k}$ ,  $\Gamma_{n+1,2k-1}$  and  $\Gamma_{n+1,2k}$  and consider the analytic mapping  $f(z)$  in  $\Delta_{n,k}$ . By the condition of the theorem  $\lim_{n \rightarrow \infty} \mu_n = \infty$  and the estimate of the lemma  $L_{n,k} \leq \frac{2\pi^2}{\mu_{n,k}} \leq \frac{2\pi^2}{\mu_n}$ , we can take an integer  $n_0$  sufficiently large so that for  $n \geq n_0$  the images  $f(\Gamma_{n,k})$ ,  $f(\Gamma_{n+1,2k-1})$  and  $f(\Gamma_{n+1,2k})$  are contained in some sufficiently small schlicht hyperbolic disks  $D_{n,k}$ ,  $D_{n+1,2k-1}$  and  $D_{n+1,2k}$  in  $S$  respectively. We call  $f(z)$  nondegenerate in  $\Delta_{n,k}$  if  $f(z)$  takes the values outside of  $D_{n,k} \cup D_{n+1,2k-1} \cup D_{n+1,2k}$  and we call  $f(z)$  degenerate in  $\Delta_{n,k}$  otherwise.

We shall show that the nondegenerate case cannot occur for  $n \geq n_0$ . We suppose that  $f(z)$  is nondegenerate in  $\Delta_{n,k}$  for some  $n \geq n_0$ . In the case where  $D_{n,k}$ ,  $D_{n+1,2k-1}$  and  $D_{n+1,2k}$  are mutually disjoint, we can take the  $p$ -ply connected closed domain  $K_0$  in  $\Delta_{n,k}$  which is mapped properly onto the  $q$ -sheeted covering surface of  $S - D_{n,k} \cup D_{n+1,2k-1} \cup D_{n+1,2k}$ . According to the Hurwitz formula, we have  $p - 2 = q(2g + 1) + v$ , where  $p - 2$  and  $2g + 1$  are the Euler characteristics of  $K_0$  and  $S - D_{n,k} \cup D_{n+1,2k-1} \cup D_{n+1,2k}$  respectively and  $v$  is the sum of orders of the multiple points in  $K_0$ . Therefore, taking  $g \geq 2$  into account, we have  $p \geq 5q + 2$ . On the other hand, the boundaries of  $K_0$  are mapped on the boundaries of  $S - D_{n,k} \cup D_{n+1,2k-1} \cup D_{n+1,2k}$ , so that we have  $p \leq 3q$ , which is a contradiction. In the case where one of  $D_{n,k}$ ,  $D_{n+1,2k-1}$  and  $D_{n+1,2k}$ , say  $D_{n,k}$ , and the union of the other two  $D_{n+1,2k-1} \cup D_{n+1,2k}$  are disjoint, we take a hyperbolic disk  $D_0$  containing  $D_{n+1,2k-1} \cup D_{n+1,2k}$  and apply the same argument. Taking the  $p$ -ply connected closed domain  $K_0$  in  $\Delta_{n,k}$  which is mapped properly onto the  $q$ -sheeted covering surface of  $S - D_{n,k} \cup D_0$ , we have  $p - 2 = q(2g) + v$ , where  $p - 2$  and  $2g$  are the Euler characteristics of  $K_0$  and  $S - D_{n,k} \cup D_0$  respectively and  $v$  is the sum of orders of the multiple points in  $K_0$ . Therefore, we have  $p \geq 4q + 2$ . On the other hand, we have  $p \leq 2q$ , which is a contradiction. In the case where  $D_{n,k}$ ,  $D_{n+1,2k-1}$  and  $D_{n+1,2k}$  are not disjoint, we take a hyperbolic disk  $D_0$  containing  $D_{n,k} \cup D_{n+1,2k-1} \cup D_{n+1,2k}$  and apply the same argument. Taking the  $p$ -ply connected closed domain  $K_0$  in  $\Delta_{n,k}$  which is mapped properly onto the  $q$ -sheeted covering surface of  $S - D_0$ , we have  $p - 2 = q(2g - 1) + v$ , where  $p - 2$  and  $2g - 1$  are the Euler characteristics of  $K_0$  and  $S - D_0$  respectively and  $v$  is the sum of orders of the multiple points in  $K_0$ . Therefore, we have  $p \geq 3q + 2$ . On the other hand, we have  $p \leq q$ , which is a contradiction.

The above argument shows that  $f(z)$  is degenerate in  $\Delta_{n,k}$  for all  $n \geq n_0$ . We take  $\Delta_{n_0,k}$  and connect  $\Delta_{n_0+1,2k-1}$  and  $\Delta_{n_0+1,2k}$  with  $\Delta_{n_0,k}$  in the universal covering surface of  $S$ . Further, we connect  $\Delta_{n_0+2,4k-3}$  and  $\Delta_{n_0+2,4k-2}$  with  $\Delta_{n_0+1,2k-1}$  and connect  $\Delta_{n_0+2,4k-1}$  and  $\Delta_{n_0+2,4k}$  with  $\Delta_{n_0+1,2k}$  in the universal covering surface of  $S$ . Continuing this process successively, we can see that  $f(z)$  is a complex analytic mapping of the end of  $R$  bounded by  $\Gamma_{n_0,k}$  into the universal covering surface of  $S$ . Mapping the universal covering surface conformally onto the unit disk, we obtain a bounded analytic function in the end of  $R$  bounded by  $\Gamma_{n_0,k}$ . According to the Pfluger-Mori criterion, the subset  $E_{n_0,k}$  of  $E$  contained in  $\Gamma_{n_0,k}$  is the set of removable singularities for  $f(z)$  ( $k = 1, \dots, 2^{n_0}$ ). Therefore,  $f(z)$  is a complex analytic mapping of  $\hat{C} - E$  into  $S$  and becomes a constant.

**3.** We shall give some examples for which the above theorem is applicable and also consider the relation among the existence of non-constant complex analytic mappings of  $R$  into  $S$ , the existence of transcendental meromorphic functions on  $R$  with three Picard exceptional values and the existence of transcendental meromorphic functions on  $R$  with five totally ramified values.

**Example 1.** Let  $E$  be a Cantor set with successive ratios  $\{\xi_n\}$ . If  $\lim_{n \rightarrow \infty} \xi_n = 0$ , then the condition of the theorem is satisfied for  $\hat{C} - E$ , so that there exists no non-constant complex analytic mapping of  $\hat{C} - E$  into  $S$ . As the condition of the logarithmic capacity of  $E$  being equal to 0 is  $\sum_{n=1}^{\infty} \frac{\log \xi_n^{-1}}{2^n} = \infty$ , we can give a Cantor set  $E$  of positive logarithmic capacity for which there exists no non-constant complex analytic mapping of  $\hat{C} - E$  into  $S$ . Further, according to the results of

Matsumoto[6] and Toppila[9], taking a Cantor set  $E$  satisfying  $\lim_{n \rightarrow \infty} \frac{\xi_{n+1}}{\xi_n} = 0$ , we can give the Cantor set  $E$  for which there exists no transcendental meromorphic function on  $\hat{C} - E$  with three Picard exceptional values and no non-constant complex analytic mapping of  $\hat{C} - E$  into  $S$ .

**Example 2.** (cf. Matsumoto[4]) Let  $l_0 > l_1 > l_2 > \dots$  ( $l_0 < \frac{\sqrt{3}}{2}$ ,  $l_{n+1} < \frac{l_n}{3}$ ) be a sequence of positive numbers satisfying  $\lim_{n \rightarrow \infty} \frac{l_{n+1}}{l_n} = 0$ . We denote by  $A(r_1, r_2, r_3)$  the surface  $\hat{C} - \bigcup_{k=0}^2 \{|z - e^{\frac{2k\pi}{3}i}| < r_{k+1}\}$  and by  $B_k(r_1, r_2)$  the surface  $\{r_2 \leq |z - e^{\frac{2k\pi}{3}i}| \leq r_1\}$  with a slit joining  $(1 + \frac{2}{3}r_1 - r_2)e^{\frac{2k\pi}{3}i}$  and  $(1 + \frac{2}{3}r_1 + r_2)e^{\frac{2k\pi}{3}i}$  ( $k = 0, 1, 2$ ). Let  $F_0$  be the surface  $A(l_0, l_0, l_0)$ . We connect  $B_k(l_0, l_1)$  ( $k = 0, 1, 2$ ) with  $F_0$  and denote the resulting 6-ply connected surface with three slits by  $F_1$ . Further, connecting  $B_k(l_1, l_2)$  ( $k = 0, 1, 2$ ) with  $F_1$ , we connect  $B_0(l_0, l_1) \cup B_0(l_1, l_2) \cup A(l_0, l_1, l_1) \cup B_1(l_1, l_2) \cup B_2(l_1, l_2)$ ,  $B_1(l_0, l_1) \cup B_1(l_1, l_2) \cup A(l_1, l_0, l_1) \cup B_0(l_1, l_2) \cup B_2(l_1, l_2)$  and  $B_2(l_0, l_1) \cup B_2(l_1, l_2) \cup A(l_1, l_1, l_0) \cup B_0(l_1, l_2) \cup B_1(l_1, l_2)$  with  $F_1 \cup B_0(l_1, l_2) \cup B_1(l_1, l_2) \cup B_2(l_1, l_2)$  crosswise across the three slits joining  $(1 + \frac{2}{3}l_0 - l_1)e^{\frac{2k\pi}{3}i}$  and  $(1 + \frac{2}{3}l_0 + l_1)e^{\frac{2k\pi}{3}i}$  ( $k = 0, 1, 2$ ). We denote the resulting 24-ply connected 4-sheeted covering surface of  $A(l_2, l_2, l_2)$  with 12 slits by  $F_2$ . Continuing this process successively, we obtain the  $6 \cdot 4^{n-1}$ -ply connected  $4^{n-1}$ -sheeted covering surface  $F_n$  of  $A(l_n, l_n, l_n)$  with  $3 \cdot 4^{n-1}$  slits and we denote the limit surface of  $F_n$  by  $F$ . Here, as the surface  $F$  is of planar character, by taking a suitable totally disconnected compact set  $E$ , we can map the surface  $F$  conformally onto  $\hat{C} - E$ . By the construction of the surface  $F$ , there exists a transcendental meromorphic function on  $\hat{C} - E$  with three Picard exceptional values and as the condition of the theorem is also satisfied for  $\hat{C} - E$ , there exists no non-constant complex analytic mapping of  $\hat{C} - E$  into  $S$ .

**Example 3.** (cf. Hashimoto-Matsumoto[2]) Let  $l_0 > l_1 > l_2 > \dots$  be a sequence of positive numbers satisfying  $\lim_{n \rightarrow \infty} \frac{l_{n+1}}{l_n} = 0$ . We denote by  $C(r_1, r_2, r_3, r_4, r_5)$  the surface  $\hat{C}$  with five slits joining  $e^{\frac{2k\pi}{5}i}$  and  $(1 + r_{k+1})e^{\frac{2k\pi}{5}i}$  ( $k = 0, \dots, 4$ ). Let  $F_0$  be the surface  $C(l_0, l_0, l_0, l_0, l_0)$ . We connect  $C(l_0, l_1, l_1, l_1, l_1)$ ,  $C(l_1, l_0, l_1, l_1, l_1)$ ,  $C(l_1, l_1, l_0, l_1, l_1)$ ,  $C(l_1, l_1, l_1, l_0, l_1)$  and  $C(l_1, l_1, l_1, l_1, l_0)$  with  $F_0$  crosswise across the five slits joining  $e^{\frac{2k\pi}{5}i}$  and  $(1 + l_0)e^{\frac{2k\pi}{5}i}$  ( $k = 0, \dots, 4$ ) and denote the resulting 20-ply connected 6-sheeted covering surface of  $\hat{C}$  with 20 slits by  $F_1$ . Continuing this process successively, we obtain the  $5 \cdot 4^n$ -ply connected  $(\frac{5}{3}(4^n - 1) + 1)$ -sheeted covering surface  $F_n$  of  $\hat{C}$  with  $5 \cdot 4^n$  slits and we denote the limit surface of  $F_n$  by  $F$ . As the surface  $F$  is of planar character, taking a suitable totally disconnected compact set  $E$ , we can map the surface  $F$  conformally onto  $\hat{C} - E$ . By the construction of the surface  $F$ , there exists a transcendental meromorphic function on  $\hat{C} - E$  with five totally ramified values and as the condition of the theorem is also satisfied for  $\hat{C} - E$ , there exists no non-constant complex analytic mapping of  $\hat{C} - E$  into  $S$ .

It is not known whether there exists a totally disconnected compact set  $E$ , for which there exists a non-constant analytic mapping of  $\hat{C} - E$  into  $S$  and for which there exists no transcendental meromorphic function on  $\hat{C} - E$  with three Picard exceptional values or with five totally ramified values. In this respect, we remark that there exists a Riemann surface  $R$  of infinite genus and with one ideal boundary, for which there exists a non-constant analytic mapping of  $R$  into  $S$  and for which there exists no non-constant meromorphic function on  $R$  with three Picard exceptional values (cf. Ozawa[3]).

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(受理 平成 19 年 3 月 19 日)